PATENT Docket No. 5095-4076

Express Mail Label No. EV357803325US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## **UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))**

| Satoshi UMEMURA; Hideki MIZUTANI; Masakazu MURASE; Tatsuya HIROSE; and YURASPIROTO  Serial No.: TBA Group Art Unit: TBA  Filed: Concurrently Herewith Examiner: TBA  Filed: CONTROL VALVE FOR VARIABLE DISPLACEMENT COMPRESSOR  Customer No.: 27123  Mail Stop Patent Application COMMISSIONER FOR PATENTS PO Box 1450 Alexandria, VA 22313-1450  Sir:  Transmitted herewith for filing is the patent application of:  Named Inventor(s) and Address(es):  Mail Stop Patent Application COMMISSIONER FOR PATENTS Control Value of Recommendation of:  Named Inventor(s) and Address(es):  Mail Stop Patent Application COMMISSIONER FOR PATENTS Control Value of Recommendation of:  Named Inventor(s) and Address(es):  Alexandria, VA 22313-1450  Sir:  Transmitted herewith for filing is the patent application of:  Named Inventor(s) and Address(es):  Alexandria, VA 22313-1450  Sir:  Transmitted herewith for filing is the patent application of:  Named Inventor(s) and Address(es):  Alexandria, VA 22313-1450  Sir:  Transmitted herewith for filing is the patent application of:  Named Inventor(s) and Address(es):  Alexandria, VA 22313-1450  Sir:  Transmitted herewith for filing is the patent application of:  Named Inventor(s) and Address(es):  Alexandria, VA 22313-1450  Sir:  Transmitted herewith for filing is the patent application of:  Named Inventor(s) and Address(es):  Alexandria, VA 22313-1450  Sir:  Transmitted herewith for filing is the patent application of:  Named Inventor(s) and Address(es):  Alexandria, VA 22313-1450  Sir:  Transmitted herewith for filing is the patent application of:  Named Inventor(s) and Address(es):  Alexandria, VA 22313-1450  Sir:  Transmitted herewith for filing is the patent application of:  Named Inventor(s) and Address(es):  Alexandria, VA 22313-1450  Sir:  Transmitted herewith for filing is the patent application of:  Named Inventor(s) and Address(es):  Alexandria, VA 22313-1450  Sir:  Transmitted herewith for filing is the patent application of:  Named Inventor(s) and Address(es):  Address(es):  Alexandria, VA |                             |  |                                    |                           | , , , ,              |       |  |  |  |  |
|---|-----------------------------|--|------------------------------------|---------------------------|----------------------|-------|--|--|--|--|
| Filed: Concurrently Herewith Examiner: TBA  For: CONTROL VALVE FOR VARIABLE DISPLACEMENT COMPRESSOR  Customer No.: 27123  Mail Stop Patent Application COMMISSIONER FOR PATENTS PO Box 1450 Alexandria, VA 22313-1450  Sir:  Transmitted herewith for filing is the patent application of:  Named Inventor(s) and Address(es):    Main Stop Patent Application  | Applicant(s):               | Satoshi UMEMURA; Hideki MIZUTANI; Masakazu MURASE; Tatsuya HIROSE; and Yuj HASHIMOTO |                                    |                           |                      |       |  |  |  |  |
| For: CONTROL VALVE FOR VARIABLE DISPLACEMENT COMPRESSOR  Customer No.: 27123  Mail Stop Patent Application COMMISSIONER FOR PATENTS PO Box 1450 Alexandria, VA 22313-1450  Sir: Transmitted herewith for filing is the patent application of:  Named Inventor(s) and Address(es):    Satoshi UMEMURA; Hideki MIZUTANI; Masakazu MURASE; Tatsuya HIROSE; and Yuji HASHIMOTO   C/O KABUSHIKI KAISHA TOYOTA JIDOSHOKKI 2-1, Toyoda-cho, Kariya-shi, Aichi-ken, Japan  For:   CONTROL VALVE FOR VARIABLE DISPLACEMENT COMPRESSOR  Enclosed are:    X  18  | Serial No.:                 | TBA  |                                    | Group Art Unit:           | TBA                  |       |  |  |  |  |
| Customer No.: 27123  Mail Stop Patent Application COMMISSIONER FOR PATENTS PO Box 1450 Alexandria, VA 22313-1450  Sir:  Transmitted herewith for filing is the patent application of:  Named Inventor(s) and Address(es):  Satoshi UMEMURA; Hideki MIZUTANI; Masakazu MURASE; Tatsuya HIROSE; and Yuji HASHIMOTO  c/o KABUSHIKI KAISHA TOYOTA JIDOSHOKKI 2-1, Toyoda-cho, Kariya-shi, Aichi-ken, Japan  For:  CONTROL VALVE FOR VARIABLE DISPLACEMENT COMPRESSOR  Enclosed are:  [X] 18 page(s) of specification, 1 page(s) of Abstract, 3 page(s) of claims  [X] 1 sheets of drawing [X] formal (Figures 1A-1B) [] informal  [X] 5 page(s) of Declaration and Power of Attorney  [X] Unsigned [] Newly Executed [] Copy from prior application   | Filed:                      | Concu  | rrently Herewith                   | Examiner:                 | TBA                  | OT == |  |  |  |  |
| Mail Stop Patent Application COMMISSIONER FOR PATENTS PO Box 1450 Alexandria, VA 22313-1450  Sir: Transmitted herewith for filing is the patent application of:  Named Inventor(s) and Address(es):    Satoshi UMEMURA; Hideki MIZUTANI; Masakazu MURASE; Tatsuya HIROSE; and Yuji HASHIMOTO   C/O KABUSHIKI KAISHA TOYOTA JIDOSHOKKI 2-1, Toyoda-cho, Kariya-shi, Aichi-ken, Japan   For:   CONTROL VALVE FOR VARIABLE DISPLACEMENT COMPRESSOR     Enclosed are:   [X]   | For:                        | CONTROL VALVE FOR VARIABLE DISPLACEMENT COMPRESSOR                                   |                                    |                           |                      |       |  |  |  |  |
| COMMISSIONER FOR PATENTS PO Box 1450 Alexandria, VA 22313-1450  Sir:  Transmitted herewith for filing is the patent application of:  Named Inventor(s) and Address(es):    Satoshi UMEMURA; Hideki MIZUTANI; Masakazu MURASE; Tatsuya HIROSE; and Yuji HASHIMOTO    C/O KABUSHIKI KAISHA TOYOTA JIDOSHOKKI 2-1, Toyoda-cho, Kariya-shi, Aichi-ken, Japan  For:   CONTROL VALVE FOR VARIABLE DISPLACEMENT COMPRESSOR    Enclosed are:   [X]18 page(s) of specification,1 page(s) of Abstract,3 page(s) of claims   [X]1 sheets of drawing  | Customer No.:               | 27123  |                                    |                           |                      |       |  |  |  |  |
| Transmitted herewith for filing is the patent application of:  Named Inventor(s) and Address(es):  Satoshi UMEMURA; Hideki MIZUTANI; Masakazu MURASE; Tatsuya HIROSE; and Yuji HASHIMOTO  c/o KABUSHIKI KAISHA TOYOTA JIDOSHOKKI 2-1, Toyoda-cho, Kariya-shi, Aichi-ken, Japan  For:  CONTROL VALVE FOR VARIABLE DISPLACEMENT COMPRESSOR  Enclosed are:  [X] 18 page(s) of specification, 1 page(s) of Abstract, 3 page(s) of claims  [X] 1 sheets of drawing [X] formal (Figures 1A-1B) [] informal  [X] 5 page(s) of Declaration and Power of Attorney  [X] Unsigned [] Newly Executed [] Copy from prior application   | COMMISSIONER<br>PO Box 1450 | FOR PA   | ATENTS                             |                           |                      |       |  |  |  |  |
| Named Inventor(s) and Address(es):  Satoshi UMEMURA; Hideki MIZUTANI; Masakazu MURASE; Tatsuya HIROSE; and Yuji HASHIMOTO  c/o KABUSHIKI KAISHA TOYOTA JIDOSHOKKI 2-1, Toyoda-cho, Kariya-shi, Aichi-ken, Japan  For:  CONTROL VALVE FOR VARIABLE DISPLACEMENT COMPRESSOR  Enclosed are:  [X]18 page(s) of specification,1 page(s) of Abstract,3 page(s) of claims  [X]1 sheets of drawing  | Sir:                        |  |                                    |                           |                      |       |  |  |  |  |
| Address(es):  HIROSE; and Yuji HASHIMOTO  c/o KABUSHIKI KAISHA TOYOTA JIDOSHOKKI 2-1, Toyoda-cho, Kariya-shi, Aichi-ken, Japan  For:  CONTROL VALVE FOR VARIABLE DISPLACEMENT COMPRESSOR  Enclosed are:  [X]18 page(s) of specification,1 page(s) of Abstract,3 page(s) of claims  [X]1 sheets of drawing   | Transmitted herewi          | th for fi  | ling is the patent application of: |                           |                      |       |  |  |  |  |
| 2-1, Toyoda-cho, Kariya-shi, Aichi-ken, Japan  CONTROL VALVE FOR VARIABLE DISPLACEMENT COMPRESSOR  Enclosed are:  [X] 18 page(s) of specification, 1 page(s) of Abstract, 3 page(s) of claims  [X] 1 sheets of drawing [X] formal (Figures 1A-1B) [] informal  [X] 5 page(s) of Declaration and Power of Attorney  [X] Unsigned [] Newly Executed [] Copy from prior application  |                             | and  |                                    |                           | tazu MURASE; Tatsuya |       |  |  |  |  |
| Enclosed are:  [X]18 page(s) of specification,1 page(s) of Abstract,3 page(s) of claims  [X]1 sheets of drawing   | ·                           |  |                                    |                           |                      |       |  |  |  |  |
| <ul> <li>[X]18 page(s) of specification,1 page(s) of Abstract,3 page(s) of claims</li> <li>[X]1 sheets of drawing [X] formal (Figures 1A-1B) [] informal</li> <li>[X]5 page(s) of Declaration and Power of Attorney</li> <li>[X] Unsigned [] Newly Executed [] Copy from prior application</li> </ul>   | For:                        | CONTROL VALVE FOR VARIABLE DISPLACEMENT COMPRESSOR                                   |                                    |                           |                      |       |  |  |  |  |
| [X] _1 sheets of drawing [X] formal (Figures 1A-1B) [] informal  [X] _5_ page(s) of Declaration and Power of Attorney  [X] Unsigned [] Newly Executed [] Copy from prior application  | Enclosed are:               |  | *                                  |                           |                      |       |  |  |  |  |
| [X] _5 page(s) of Declaration and Power of Attorney  [X] Unsigned [ ] Newly Executed [ ] Copy from prior application  | [X] <u>18</u> page(         | s) of spe  | ecification, page(s) of            | Abstract, <u>3</u> page(s | ) of claims          |       |  |  |  |  |
| [X] Unsigned [ ] Newly Executed [ ] Copy from prior application   | [X] 1 sheets                | s of drav  | wing [X] formal (Figures 1A        | -1B) [] informal          |                      |       |  |  |  |  |
| [ ] Newly Executed [ ] Copy from prior application  | [X] _5 page(s               | ) of Dec   | claration and Power of Attorney    |                           |                      |       |  |  |  |  |
| [ ] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)   | [ ] Newl                    | y Execu  |                                    |                           |                      |       |  |  |  |  |
|   | [ ] Deletion of inv         | entors in  | ncluding Signed Statement under    | r 37 C.F.R. § 1.63(d)(2)  |                      |       |  |  |  |  |

| l J | combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference. |  |  |  |  |  |  |  |  |
|-----|---|--|--|--|--|--|--|--|--|
| []  | Microfiche Computer Program (Appendix)  |  |  |  |  |  |  |  |  |
| []  |   | page(s) of Sequence Listing  |  |  |  |  |  |  |  |
|     | []  | computer readable disk containing Sequence Listing Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same  |  |  |  |  |  |  |  |
| [X] | Claim   | n for Priority (Claim to Convention Priority) is made to Japanese Patent Application No . 2002-<br>330720 filed on November 14, 2002.  |  |  |  |  |  |  |  |
| [X] | A Certified copy of the Priority Document No. 2002-330720 is enclosed herewith.   |  |  |  |  |  |  |  |  |
|     | []  | English translation documents  |  |  |  |  |  |  |  |
| [ ] | Information Disclosure Statement  |  |  |  |  |  |  |  |  |
|     | []  | Copies of the cited references, including Form PTO-1449  |  |  |  |  |  |  |  |
|     | []  | Copy of PTO-1449 filed in parent application serial No   |  |  |  |  |  |  |  |
| []  | Preliminary Amendment   |  |  |  |  |  |  |  |  |
| [X] | Retur   | Return receipt postcard (MPEP 503)   |  |  |  |  |  |  |  |
| [ ] | Assign  | nment Papers (assignment cover sheet and assignment documents)   |  |  |  |  |  |  |  |
|     | []  | The amount of \$ 40.00 for recording the Assignment is included in the check for the filing fee.   |  |  |  |  |  |  |  |
|     | []  | Assignment papers filed in parent application Serial No  |  |  |  |  |  |  |  |
|     | []  | Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).   |  |  |  |  |  |  |  |
| [ ] | This is   | This is a [ ] continuation [ ] divisional [ ] continuation-in-part (C-I-P) of prior application serial no.   |  |  |  |  |  |  |  |
|     | [ ]   | Cancel in this application original claims of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)                          |  |  |  |  |  |  |  |
|     | [ ]   | A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application. |  |  |  |  |  |  |  |
| [ ] | The st  | The status of the parent application is as follows:  |  |  |  |  |  |  |  |
|     | [ ]   | A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until   |  |  |  |  |  |  |  |
|     | []  | A copy of the Petition for Extension of Time in the co-pending parent application is attached.   |  |  |  |  |  |  |  |

|                                  | [ ] No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.   |                                     |                           |                |  |                          |                        |         |  |  |
|----------------------------------|--|-------------------------------------|---------------------------|----------------|--|--------------------------|------------------------|---------|--|--|
| []                               | Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending. |                                     |                           |                |  |                          |                        |         |  |  |
|                                  | [ ] Transfer the drawing(s) from the patent application to this application.   |                                     |                           |                |  |                          |                        |         |  |  |
| []                               | Amend the specification by inserting before the first line the sentence:  This is a [ ] continuation [ ] divisional [ ] continuation-in-part of co-pending application Serial No filed,  |                                     |                           |                |  |                          |                        |         |  |  |
|                                  | I.   | CALCULAT                            | TION OF APPLIC            | ATION FEE      | (For Other Than A                            | Small Entity)            |                        |         |  |  |
|                                  |  |                                     | Number Filed              |                | Number Extra                                 | Rate                     | Basic Fee<br>\$ 770.00 |         |  |  |
| Total<br>Claims                  |  |                                     | 10                        | -20 =          | 0  | x \$ 18.00               | \$                     | 0.00    |  |  |
| Indepen<br>Claims                | dent   |                                     | 1                         | - 3 =          | 0  | x \$ 86.00               | \$                     | 0.00    |  |  |
| Multiple                         | e Depend   | ent Claims                          | [ ] yes<br>[X] no         |                | Additional Fee<br>Add'l Fee                  | =<br>= \$ 290.00<br>NONE | \$                     | 0.00    |  |  |
| []                               | applicat   | ion and its be                      |                           | F.R. § 1.28(a) | or has been filed in<br>) is hereby claimed. |                          | ed p                   | arent   |  |  |
| [X]                              | A check  | in the total                        | amount of <u>\$770.00</u> | ois attached i | n payment of the ap                          | pplication filing fe     | е.                     |         |  |  |
| []                               |  | Fee(s) to Dep<br>HEET IS AT         |                           | 13-4500. Or    | der No.                                      | A DUPLICA                | ATE                    | COPY OF |  |  |
| [X]                              | The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5095-4076. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.                                  |                                     |                           |                |  |                          |                        |         |  |  |
|                                  |  |                                     |                           |                | Respectfully sub                             | mitted,                  |                        |         |  |  |
| Dated:                           | Novemb   | oer 12, 2003                        |                           |                | Steven F. Meyer<br>Registration No.          | F. Mara,<br>35,613       | -                      |         |  |  |
| CORRES                           | SPONDE   | NCE ADDRES                          | SS:                       |                |  |                          |                        |         |  |  |
| 345 Park<br>New Yor<br>(212) 758 | Avenue<br>k, New Y   | NEGAN, L.L.<br>ork 10154<br>csimile | Р.                        |                |  |                          |                        |         |  |  |

Docket No. 5095-4076

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Satoshi UMEMURA; Hideki MIZUTANI; Masakazu MURASE; Tatsuya HIROSE; and Yuji

Applicant(s):

HASHIMOTO

Serial No.:

**TBA** 

Group Art Unit:

**TBA** 

Filed:

Concurrently Herewith

Examiner:

**TBA** 

For:

CONTROL VALVE FOR VARIABLE DISPLACEMENT COMPRESSOR

Customer No.:

27123

## **EXPRESS MAIL CERTIFICATE**

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Express Mail Label No.:

EV357803325US

Date of Deposit:

**November 12, 2003** 

I hereby certify that the following attached paper(s) and/or fee

- 1. Utility Application and Fee Transmittal;
- 2. Specification: 18 pp., Claims: 3pg., and Abstract: 1 pg.;
- 3. One (1) sheet of Formal Drawings (Figs. 1A-1B);
- 4. Claim to Convention Priority;
- 5. Certified copy of the priority application no. 2002-330720;
- 6. Unexecuted Declaration (5 pp.);
- 7. A check in the amount of \$770.00; and
- 8. A return receipt postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name of person mailing papers(s) and/of/fee)

Signature of person mailing paper(s) and/or fee)

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